## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

# FORM 8-K/A (Amendment No. 1)

# CURRENT REPORT Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): March 11, 2021

## SANGAMO THERAPEUTICS, INC.

(Exact name of registrant as specified in its charter)

Delaware	000-30171
(State or other jurisdiction of incorporation)	(Commission File Number)

or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.  $\Box$ 

68-0359556 (IRS Employer ID Number)

7000 Marina Blvd., Brisbane, California 94005 (Address of principal executive offices) (Zip Code)

(510) 970-6000 (Registrant's telephone number, including area code)

Not Applicable (Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the

following provisions: Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425) П Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12) Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b)) Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c)) Securities registered pursuant to Section 12(b) of the Act: Trading Symbol(s) Name of each exchange on which registered Title of each class Common Stock, \$0.01 par value per **SGMO** Nasdaq Global Select Market Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§ 230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§ 240.12b-2 of this chapter). Emerging growth company  $\square$ If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new

#### **EXPLANATORY NOTE**

On March 17, 2021, Sangamo Therapeutics, Inc. (the "Company") filed a Current Report on Form 8-K (the "Original 8-K") reporting that on March 11, 2021, the Company had appointed Prathyusha Duraibabu as the Company's principal financial officer with immediate effect. Pursuant to Instruction 2 to Item 5.02 of Form 8-K, this Current Report Form 8-K/A is being filed to amend and restate the information disclosed under Item 5.02 of the Original 8-K to report information called for under Item 5.02(c)(3) of Form 8-K that had not been determined at the time of the filing of the Original 8-K.

## Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers

On March 11, 2021, the Board of Directors of the Company appointed Prathyusha Duraibabu as the Company's principal financial officer with immediate effect. Ms. Duraibabu has served as the Company's principal accounting officer since June 2019 and as the Company's Vice President, Finance since March 2019.

Ms. Duraibabu, age 42, has over 22 years of experience in optimizing financial operations, driving organizational change, building diverse teams and delivering results. Prior to joining the Company, Ms. Duraibabu served as Corporate Controller at Pacific Biosciences of California, Inc., a publicly-traded commercial biotechnology company, from June 2010 to March 2019. At Pacific Biosciences, she was responsible for global financial operations, strategy, audit and tax. Ms. Duraibabu received a Bachelors of Accounting from Oxford Brookes University in Oxford, United Kingdom and an M.B.A. from San Jose State University. Ms. Duraibabu is a Certified Public Accountant in the State of California.

On or about May 24, 2021, the Compensation Committee of the Company's Board of Directors approved the promotion of Ms. Duraibabu to Senior Vice President and Chief Financial Officer and a new compensation package for Ms. Duraibabu, consisting of an annual base salary of \$400,000, a target cash bonus of 35% of her annual base salary under the Company's Amended and Restated Incentive Compensation Plan (the "Bonus Plan"), a stock option award to purchase 15,000 shares of the Company's common stock (the "Option Award"), a restricted stock unit award covering 7,500 shares of the Company's common stock (the "RSU Award"), and Ms. Duraibabu's participation in the Company's Amended and Restated Executive Severance Plan (the "Severance Plan") at the level set forth in such plan for Senior Vice Presidents. This promotion is effective June 1, 2021. The Option Award will be subject to a four-year vesting schedule with 25% of the shares subject to the Option Award vesting upon Ms. Duraibabu's completion of one year of service measured from the grant date and the balance of the shares vesting monthly thereafter for the next three years. The RSU Award will vest in a series of three successive equal installments upon completion of each year of service measured from the grant date. The Option Award and the RSU Award will be granted under the Company's Amended and Restated 2018 Equity Incentive Plan (the "EIP"), and Ms. Duraibabu will continue to be eligible for future equity awards under such plan on an annual basis. Each of the Bonus Plan, the Severance Plan and the EIP are described under the heading "Executive Compensation" in the Company's definitive proxy statement on Schedule 14A, filed with the Securities and Exchange Commission on April 2, 2021.

### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

### SANGAMO THERAPEUTICS, INC.

Dated: May 27, 2021 By: /s/ Gary H. Loeb

Name: Gary H. Loeb

Title: Executive Vice President & General Counsel